

Updated Results of a Phase 1/2 Clinical Study of Zinc Finger Nuclease-Mediated Editing of *BCL11A* in Autologous Hematopoietic Stem Cells for Transfusion-Dependent Beta Thalassemia

Poster 3974

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Background

- Transfusion-dependent β -thalassemia (TDT) is an inherited severe anemia characterized by absent or reduced β -globin chain synthesis resulting in excess α -globin chains, ineffective erythropoiesis, and hemolysis. TDT is treated with lifelong blood transfusions
- Persistently elevated fetal hemoglobin (HbF) is associated with a milder disease course in patients with β -thalassemia¹
- BCL11A* is a repressor of γ -globin expression and HbF production in adult erythrocytes. Downregulation of *BCL11A* is a therapeutic strategy for induction of HbF in beta hemoglobinopathies
- In preclinical studies with human hematopoietic stem cells (HSC), zinc finger nuclease (ZFN)-mediated disruption of the GATA-binding region of the intronic erythroid-specific *BCL11A* enhancer (*BCL11A* ESE) decreased *BCL11A* expression and increased HbF production in erythroid cells without disrupting multilineage hematopoiesis²
- ST-400 is an investigational cell therapy product comprised of autologous CD34+ cells that have undergone high-precision, ZFN-mediated *ex vivo* editing at the *BCL11A* gene ESE target

Aim

- The aim of this study was to induce HbF expression in edited erythroid cells following infusion of ST-400 in patients with TDT

Methods

Study Design and Patient Population

- The Thales trial (NCT03432364) is a Phase 1/2 study of the safety, tolerability, and efficacy of ST-400 in adult patients with TDT, defined as receiving ≥ 8 annual red blood cell transfusion events over at least 2 consecutive years before enrollment

- Leukapheresis was performed following mobilization with granulocyte colony-stimulating factor (G-CSF) and plerixafer

- Autologous collections were enriched for CD34+ cells and then transfected with mRNA encoding ZFNs with binding sites flanking the GATA-binding region of *BCL11A* ESE

- The ST-400 product was infused following myeloablative busulfan conditioning

- The study planned to enroll 6 patients to be monitored for safety and efficacy for 3 years post-infusion

Outcome Measures

- Safety and tolerability were assessed by incidence of adverse events (AEs) and serious AEs (SAEs)

- Success and kinetics of hematopoietic reconstitution were assessed by neutrophil (absolute neutrophil count ≥ 500 cells/ μ L) and platelet ($\geq 20,000$ cells/ μ L unsupported by transfusion) engraftment

- On-target indel patterns tracked at the molecular level over time for surveillance of emerging hematopoietic clones
 - Clonal expansion/dominance occurs when the frequency of a unique insertion or deletion is $\geq 90\%$, or there is $>40\%$ change in the indel frequency from 3 consecutive blood collections

- Patients monitored for the presence of on-target indels in hematopoietic cells, HbF concentration, and transfusion requirements after ST-400 infusion; post-transplantation hemoglobin transfusion thresholds were < 8 g/dL, except for Patient 3, which was < 7 g/dL

- This updated analysis includes data collected on or before September 14, 2021

Results

- Five patients (average 28 years) have been infused with ST-400 (Table 1)
- Patients received an average of 7.3×10^8 CD34+ cells/kg (min-max: 4.5-11.4) (Table 2)

Table 1. Patient Demographics and Disease Characteristics

| Patient | Age at Consent (Years) | Genotype | Annualized PRBC Events Pre-enrollment | Most Recent Study Visit |
|---------|------------------------|---|---------------------------------------|-------------------------|
| 1 | 36 | β^0 β^0 | 27 | Week 130 (36.6 months) |
| 2 | 30 | β^+ (severe IVS-I-5: G>C) β^+ (severe IVS-I-5: G>C) | 18 | Week 117 (34.5 months) |
| 3 | 23 | β^0 β^+ (severe IVS-II-654 C>T) | 15 | Week 104 (27.2 months) |
| 4* | 18 | β^{WT} ($\alpha\alpha$) β^0 ($\alpha\alpha\alpha\alpha$) | 13 | Week 65 (19.4 months) |
| 5 | 35 | β^0 β^+ (severe IVS-I-110 G>A) | 15 | Week 91 (25 months) |

*Patient 4 conducted the End of Study Visit at Week 65
¹PRBC, packed red blood cell transfusion; β^0 , absence of β -globin production; β^+ , decreased β -globin production; β^{WT} , wild type (normal β -globin production); PRBC events, packed red blood cell transfusion

ST-400 Product Characteristics and Hematopoietic Reconstitution

- On-target indels in the ST-400 product ranged from 23% to 80% (Table 2)
- Patients achieved neutrophil engraftment in 14 to 24 days and platelet engraftment in 19 to 44 days

Table 2. ST-400 Product Characteristics and Hematopoietic Reconstitution

| Patient | Cell Dose (10^7 /kg) | CD34+ (%) | CFU Dose (10^7 /kg) | On-target Indels ^a (%) | Neutrophil Engraftment ^b Day(s) | Platelet Engraftment ^c Day(s) | Received G-CSF Day(s) |
|---------|-------------------------|-----------|------------------------|-----------------------------------|--|--|-----------------------|
| 1 | 5.9 | 91 | 6.2 | 23* | 14 | 25 | 5-24 |
| 2 | 4.5 | 87 | 4.0 | 73 | 15 | 22 | 9-22 |
| 3 | 11.4 | 90 | 14.8 | 54 | 22 | 35 | 21 |
| 4 | 5.4 | 86 | 7.3 | 80 | 24 | 44 | 2-35 |
| 5 | 9.5 | 98 | 10.4 | 76 | 14 | 19 | 7-16 |

*Percentage of all *BCL11A* ESE alleles with an indel (not cells with at least 1 edited *BCL11A* ESE allele)
^aNeutrophil engraftment occurring on the first of 3 consecutive days on which the patient's neutrophil count was ≥ 500 cells/ μ L
^bPlatelet engraftment occurring on the first of 3 consecutive measurements over a minimum of 3 days with platelet count $\geq 20,000$ cells/ μ L and in the absence of platelet transfusion in the preceding 7 days
^cPatient 1 underwent 2 cycles of apheresis and manufacturing of ST-400; on-target indel percentage for the lot not shown was 26%. All other patients underwent only 1 cycle
 CFU, total colony-forming unit; ESE, erythroid-specific enhancer; G-CSF, granulocyte colony-stimulating factor

- With follow-up ranging from approximately 19 to 37 months, on-target indels at *BCL11A* ESE were present in peripheral blood mononuclear cells (PBMCs) and white blood cells (WBCs) of all 5 subjects (Table 3)

Table 3. Mean Percentage of Indels at Targeted Locus (*BCL11A* ESE) in Patients Over Time

| Parameter | Day 0 | Day 14 | Day 28 | Day 42 | Day 56 | Day 90 | Week 26 | Week 39 | Week 52 | Week 78 | Week 104 |
|---------------------|-------|--------|--------|--------|--------|--------|---------|---------|---------|---------|----------|
| ST-400 Drug Product | n=5 | 61.3% | | | | | | | | | |
| PBMC | n=3 | 2.2% | 15.3% | 13.6% | 6.3% | 8.4% | 9.1% | 10.2% | 8.9% | 7.8% | 14.5% |
| WBC | n=2 | 6.5% | 36.8% | 33.1% | 29.5% | 26.2% | 18.2% | 19.4% | 20.1% | 17.3% | 15.9% |

PBMC, peripheral blood mononuclear cells; WBC, white blood cells

Safety

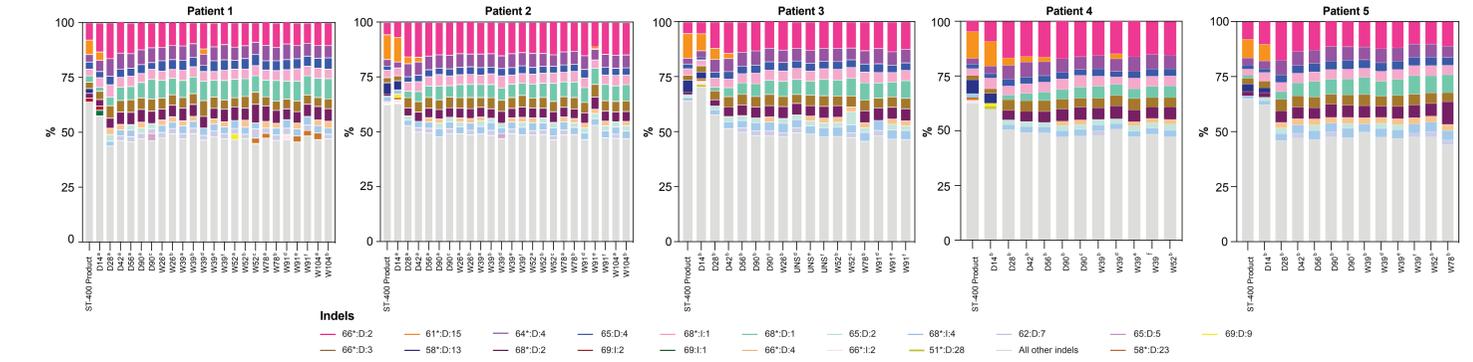
- Only 1 SAE was attributed to the ST-400 drug product (Table 4)
- The majority of AEs reported following treatment with ST-400 are consistent with myeloablation

Table 4. Serious Adverse Events

| Patient* | SAEs | Related to ST-400 |
|----------|-------------------------------|-------------------|
| 1 | Hypersensitivity ^b | Related |
| 3 | Pneumonia ^a | Not related |
| 5 | Drug withdrawal syndrome | Not related |

*No serious AEs were reported by Patients 2 and 4
^aExperienced hypersensitivity soon after the initiation of ST-400 infusion, resolved by the end of infusion, and was considered by the investigator most likely related to DMSO
^bPneumonia occurred in the time period between the apheresis procedure and the start of chemotherapy conditioning
 AE, adverse event; DMSO, dimethylsulfoxide; SAE, serious AE

Figure 1. Monitoring On-target Indel Patterns for Assessment of Hematopoietic Clonality



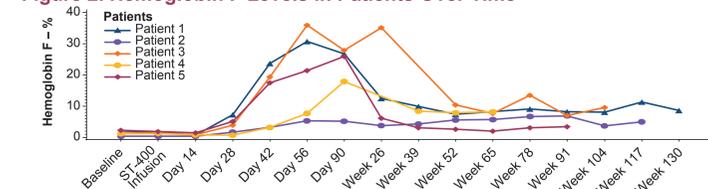
*PBMC, *WBC, *BM, *T cells, *B cells, *myeloid cells
Indel naming convention: I, insertion; D, deletion; first number, start of indel from reference base pair ("=nucleotides flanking indel could align to either side of the indel); number following colon, number of base pairs inserted or deleted.
 BM, bone marrow; D, day; PBMC, peripheral blood mononuclear cells; UNS, unscheduled; W, week; WBC, white blood cells

- No emerging clonal hematopoiesis has been observed by on-target indel pattern monitoring over time (Figure 1)
- The 20 most frequent indels detected by next-generation sequencing are shown per patient at each time point. There are approximately 200-1200 unique insertion/deletion genotypes detectable on-target in the patient monitoring samples

Changes After ST-400 Infusion

- Peak HbF levels achieved were $23.5 \pm 11.4\%$ (min-max: 6.9-35.9%) but were not sustained (Figure 2)
- At 65-130 weeks (latest visit), HbF levels were $7.0 \pm 2.6\%$ (min-max: 3.4-9.6%) possibly due to low levels of long-term gene-edited progenitors in the final drug product
- A high number of packed red blood cell (PRBC) transfusions were needed in the first month after ST-400 infusion, which is consistent with the time needed for marrow reconstitution
- Patients needed fewer transfusions during the time of the highest observed HbF levels
- Patients resumed PRBC transfusions by 3 months after ST-400 infusion, when HbF levels decreased

Figure 2. Hemoglobin F Levels in Patients Over Time



- A series of experiments were conducted in process development (PD) runs following review of data from the first dosed patients' HbF levels after 3 months. To ensure that the PD runs are representative of clinical manufacturing scale runs, gene editing (indel) levels and CD34+ recoveries were assessed and found consistent with manufacturing runs

- While indels and CD34+ levels show satisfactory levels, a significant drop in long-term HSCs^a (LT-HSCs) is observed in PD runs using the same manufacturing scale process performed during the ST-400 clinical trials (Figure 3)

Figure 3. Decrease of LT-HSC Levels in Manufacturing Representative Runs (N=4)



*Include the following phenotypes by flow cytometry: CD34+, CD38-, CD90+, CD45RA-, CD49F+

Conclusions

- All 5 infused patients had rapid hematopoietic reconstitution following myeloablative conditioning, on-target indels in PBMCs, and elevated HbF levels following HSC graft transplantation
- Average HbF increased over 1 to 3 months, with patients requiring fewer PRBC transfusions at the time of the highest observed HbF levels
- After 3 months, the average HbF levels had declined by 63% from peak to last visit, followed by resumption of PRBC transfusions
- The manufacturing process has been shown to result in a loss of the LT-HSC population in the drug product
- The procedure was generally well tolerated with only a transient increase in HbF after reconstitution
- No additional patients will be infused. Infused patients will be asked to participate in a separate long-term safety study

References

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